

## Optimal bounds for geometric dilation and computer-assisted proofs 18e Journées Montoises d'Informatique Théorique

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CERAMATHS/DMaths

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Wednesday 7 September 2022

 $\operatorname{dil}_3(\mathbb{Z}^2)$ : dilation boost

1 Introduction

**2** Degree-3 dilation of  $\mathbb{Z}^2$ 

3  $\operatorname{dil}_3(\mathbb{Z}^2)$ : dilation boost

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Geometric dilation and computer-assisted proofs

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## Triangulations

Let  $S \subset \mathbb{R}^2$  be a set of points (finite for now).

#### Definition

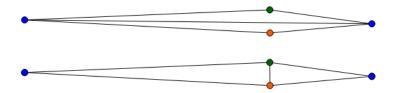
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#### Definition

A triangulation of S is a planar network which is maximal for inclusion.

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Introduction

Let T be a triangulation of S. For  $p, q \in S$ , write  $d_T(p, q)$  for the Euclidean shortest path distance between p and q.

Introduction	



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$$\operatorname{dil}(T) := \max_{p,q \in S} rac{d_T(p,q)}{|pq|} \in [1,+\infty)$$

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#### Dilation:

$$\operatorname{dil}(T) := \max_{p,q \in S} rac{d_T(p,q)}{|pq|} \in [1,+\infty)$$

#### Goal

Find a triangulation T such that dil(T) is minimal:

$$\operatorname{dil}(S) := \min_{T \text{ triangulation of } S} \operatorname{dil}(T)$$

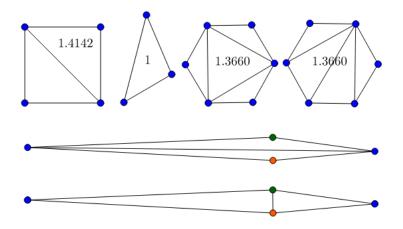
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Introduction	

 $\underset{\blacksquare}{\operatorname{dil}_3(\mathbb{Z}^2): \text{ dilation boost}}$ 

## Examples



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1 Introduction

**2** Degree-3 dilation of  $\mathbb{Z}^2$ 

3 dil<sub>3</sub>( $\mathbb{Z}^2$ ): dilation boost

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## Degree-k dilation

#### Can we simultaneously require planarity and small maximum degree?

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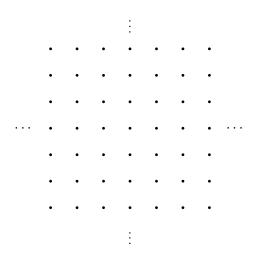
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what about infinite point sets S?

Introduction	



## The square lattice: $S = \mathbb{Z}^2$



# Previously known results about $\operatorname{dil}_k(\mathbb{Z}^2)$ , $k \geq 4$

Dumitrescu and Ghosh showed in [DG16a] that

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requires to show the existence of triangulations with low dilation and degree  $\leq k$ , as was done in [DG16a].

## What about k = 2 and k = 3?

■ The case *k* = 2 is not interesting since in this case we could only join points of Z<sup>2</sup> with a path, leading to an infinite dilation.

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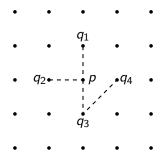
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 $\operatorname{dil}_3(\mathbb{Z}^2)$ : dilation boost

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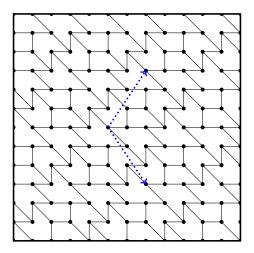
using an explicit construction, and conjectured this bound to be tight.

• With C. Pilatte, we *disproved* this conjecture by giving examples of degree-3 triangulations of  $\mathbb{Z}^2$  with dilation  $1 + \sqrt{2}$ .

Introd	uction

 $\operatorname{dil}_3(\mathbb{Z}^2)$ : dilation boost

# A periodic degree-3 triangulation of $\mathbb{Z}^2$ with dilation $1+\sqrt{2}$



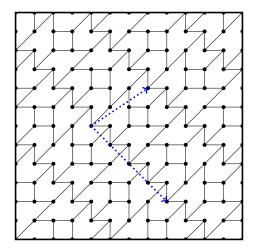
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Geometric dilation and computer-assisted proofs

Introd	uction



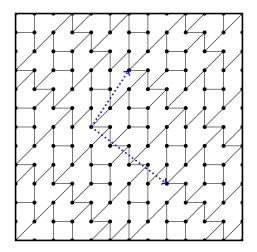
# Another example with dilation $1 + \sqrt{2}$



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 $\underset{\blacksquare}{\operatorname{dil}_3(\mathbb{Z}^2): \text{ dilation boost}}$ 

#### Yet another example



## The computer-assisted search

Main ideas:

 Only look for periodic examples, and iterate over the coordinates of two small vectors forming the fundamental cell of the tiling (the blue vectors in the pictures);

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## The computer-assisted search

Main ideas:

- Only look for periodic examples, and iterate over the coordinates of two small vectors forming the fundamental cell of the tiling (the blue vectors in the pictures);
- Edges  $\equiv$  obstructions to go from one side to the other;
- Adding exhaustively "small tiles", while respecting the degree 3 constraint, and try to detect pairs of points with high dilation as soon as possible (those with too many obstructions in between).

## Optimal and locally optimal triangulations

#### Definition

Let  $\mathcal{M}$  be the set of *optimal* triangulations, the triangulations on  $\mathbb{Z}^2$  of maximum degree 3 which have dilation  $1 + \sqrt{2}$ , i.e. so that

$$d_T(p,q) \leq (1+\sqrt{2})|pq|$$

for every pair of vertices  $(p,q) \in \mathbb{Z}^2$ .

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#### Definition

Let  $\mathcal{M}_{loc}$  be the set of *locally optimal* triangulations, the triangulations  $\mathcal{T}$  on  $\mathbb{Z}^2$  of maximum degree 3 which satisfy the dilation constraint

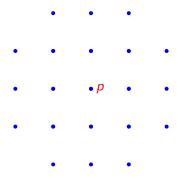
$$d_T(p,q) \leq (1+\sqrt{2})|pq|$$

for every pair of vertices  $(p,q) \in \mathbb{Z}^2$  with  $|pq| \leq \sqrt{5}$ .

Introduction	Degree-3 dilation of $\mathbb{Z}^2$	$\operatorname{dil}_3(\mathbb{Z}^2)$ : dilation boost

Small zones considered in the definition of  $\mathcal{M}_{\mathrm{loc}}$ 

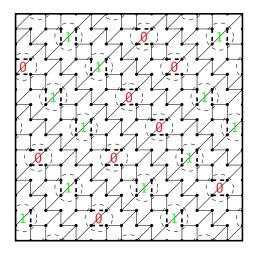
Given  $p \in \mathbb{Z}^2$ , the blue dots represent the points  $q \in \mathbb{Z}^2$  with  $|pq| \le \sqrt{5}$ .



Introduction	

 $\operatorname{dil}_3(\mathbb{Z}^2)$ : dilation boost

## Uncountably many locally optimal triangulations



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## A structural result

Theorem ("Local-global principle"; G.-Pilatte 2022)

 $\mathcal{M}_{\mathrm{loc}} = \mathcal{M}_{\mathrm{.}}$ 

Introduction	Degree-3 dilation of $\mathbb{Z}^2$	$\operatorname{dil}_3(\mathbb{Z}^2)$ : dilation boost

### A structural result

Theorem ("Local-global principle"; G.-Pilatte 2022)  $\mathcal{M}_{loc} = \mathcal{M}.$ 

#### Lemma ("Dilation boost")

Let  $T \in \mathcal{M}_{\mathrm{loc}}$ . If  $p, q \in \mathbb{Z}^2$  are such that  $|pq| = \sqrt{5}$ , then

$$rac{d_{\mathcal{T}}(p,q)}{|pq|} \leq rac{3+\sqrt{2}}{\sqrt{5}} pprox 1.974 < 2.414 pprox 1+\sqrt{2}$$

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#### Idea of the proof ot the Local-global principle.

If  $p, q \in \mathbb{Z}^2$  are such that  $|pq| > \sqrt{5}$ , go from p to q using many "knight moves". Then  $d_T(p,q)$  is small enough assuming the dilation boost.

Degree-3 dilation of  $\mathbb{Z}^2$ 



1 Introduction

2 Degree-3 dilation of  $\mathbb{Z}^2$ 

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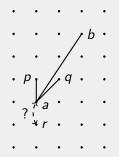


### Some properties of triangulations in $\mathcal{M}_{\mathrm{loc}}$

#### Lemma

The edges of every  $T \in \mathcal{M}_{loc}$  are of length 1 or  $\sqrt{2}$ .

#### Proof.





Forbidden subconfigurations for triangulations of  $\mathcal{M}_{\mathrm{loc}}$ 

The previous lemma says that some "edge patterns", namely edges of length greater than  $\sqrt{2}$ , cannot appear in a locally optimal triangulation.

# Forbidden subconfigurations for triangulations of $\mathcal{M}_{\mathrm{loc}}$

- The previous lemma says that some "edge patterns", namely edges of length greater than  $\sqrt{2}$ , cannot appear in a locally optimal triangulation.
- Such forbidden subconfigurations will turn out to be crucial in the computer-assisted proof of the dilation boost.

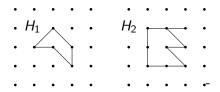
Degree-3 dilation of  $\mathbb{Z}^2$ 



# Two forbidden subconfigurations

#### Lemma

Let  $T \in \mathcal{M}_{loc}$  and let  $H_1, H_2$  be the following edge configurations. Then, neither  $H_1$  nor  $H_2$  (nor any translation, rotation or reflection of one of these two configurations) is a subgraph of T.



#### Proof.

Computer-assisted.

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Geometric dilation and computer-assisted proofs

Computer-assisted proof for the forbidden configurations

The forbidden configuration cause too much obstruction to go from one side to the other with dilation at most  $1 + \sqrt{2}$ ;

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### Computer-assisted proof for the forbidden configurations

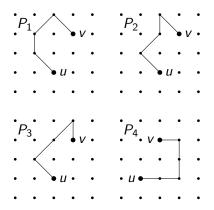
- The forbidden configuration cause too much obstruction to go from one side to the other with dilation at most  $1 + \sqrt{2}$ ;
- This is not straightforward: a lengthy (luckily, computer-assisted!) exhaustive search needs to be performed to show that these configurations do not extend to any triangulation in M<sub>loc</sub>;
- Without care, such an exhaustive search *does not terminate!* The tricky part is to choose well where to iterate over all possibilities to add an edge and to detect contradictions as soon as possible;

Introduction	



# Computer-assisted proof of the dilation boost (1)

We fix two nodes u and v with  $|uv| = \sqrt{5}$ . The dilation boost says exactly that none of the following four paths can be a shortest path between u and v in a triangulation from  $\mathcal{M}_{loc}$ .



Introduction	



# Computer-assisted proof of the dilation boost (2)

• We do an exhaustive search, but trying to detect contradictions as soon as possible, for instance *shortcuts* (when there is a too short path between u and v) or *contradictions* (when two points cannot be joined so that their dilation is  $\leq 1 + \sqrt{2}$ ).



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- The lemmas with the forbidden configurations are crucial: indeed, they "factorize" several impossible configurations that require quite a lot of computational work.



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- The lemmas with the forbidden configurations are crucial: indeed, they "factorize" several impossible configurations that require quite a lot of computational work.
- Trying exhaustively to add edges in the right order is extremely important: not for correctness but for efficiency. If we do not go through the configuration in a "clever order", the search never terminates!

Bonus: dilation of a curve, the square

# Thanks for your attention!

# Bibliography

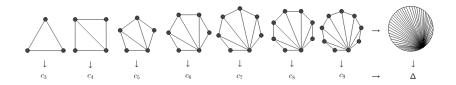
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#### 4 Bonus: dilation of a curve, the square

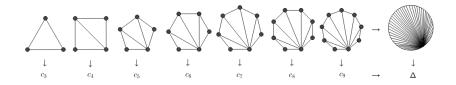
Bonus: dilation of a curve, the square  $\hfill\square$ 

### Dilation of regular polygons



Bonus: dilation of a curve, the square

# Dilation of regular polygons

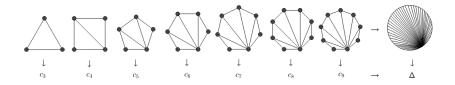


Theorem (2019; Pilatte)

The sequence of dilations of regular polygons converges to a value,

Bonus: dilation of a curve, the square

# Dilation of regular polygons



Theorem (2019; Pilatte)

The sequence of dilations of regular polygons converges to a value, **the dilation of the circle**.

### Dilation of the circle

■ For each n ≥ 3, we consider the dilation of the finite point set S<sub>n</sub> whose vertices form a regular n-gon. We therefore consider a sequence of combinatorial optimization problems;

### Dilation of the circle

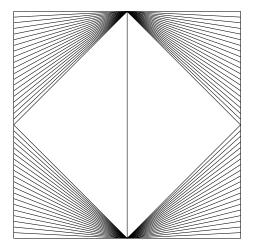
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- There exists a *limit continuous optimization problem*, and there exists at least one optimal infinite triangulation (in a suitable precise sense) attaining the dilation of the circle;

### Dilation of the circle

- For each n ≥ 3, we consider the dilation of the finite point set S<sub>n</sub> whose vertices form a regular n-gon. We therefore consider a sequence of combinatorial optimization problems;
- There exists a *limit continuous optimization problem*, and there exists at least one optimal infinite triangulation (in a suitable precise sense) attaining the dilation of the circle;
- Neither the dilation nor the optimal triangulation for the circle are known!

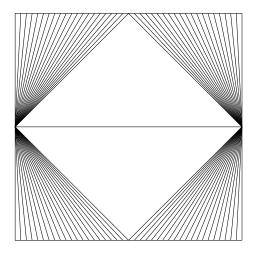
Bonus: dilation of a curve, the square

### Conjectured optimal triangulations for the square



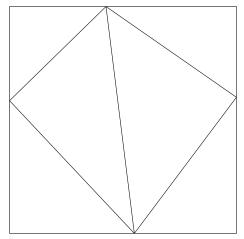
Bonus: dilation of a curve, the square

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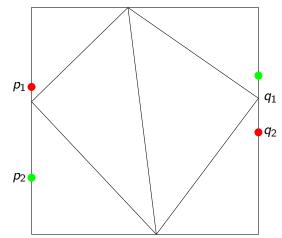
### How to prove that those triangulations are optimal?

One can only consider triangulations containing a "central quadrilateral with a diagonal":



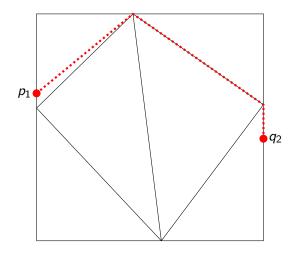
# A pair of pairs

Two types of paths face a lot of obstruction: top-left to bottom-right and top-right to bottom-left:



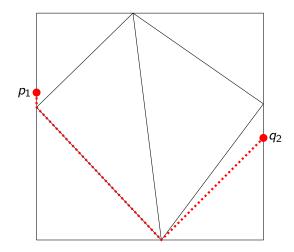
Bonus: dilation of a curve, the square  $\Box$ 

### Two paths for each pair



Bonus: dilation of a curve, the square  $\Box$ 

### Two paths for each pair



We need to show that the unique minimum of

 $[-1,1]^4 \rightarrow \mathbb{R}: (a,b,c,d) \mapsto \max_{p_1,p_2,q_1,q_2} \max(\operatorname{dil}(p_1,q_1),\operatorname{dil}(p_2,q_2))$ 

is attained for  $(a, b, c, d) = 0_{\mathbb{R}^4}$ ;

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- With some care, one can show, using interval arithmetic, that the minimum must be *close* to 0<sub>ℝ<sup>4</sup></sub>;

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- This (explicit!) optimization problem is hard: lack of smoothness due to the min/max, lack of convexity, etc;
- With some care, one can show, using interval arithmetic, that the minimum must be *close* to 0<sub>ℝ<sup>4</sup></sub>;
- A local analysis for (a, b, c, d) close 0<sub>ℝ<sup>4</sup></sub> requires both theoretical and numerical ideas.